

Annual Freedom of Information Act (FOIA) Report

(October 1, 2013 – September 30, 2014)

I. Basic Information Regarding Report

1. Name, address, and telephone number of person(s) to be contacted with questions about the report:

Denora Miller FOIA Officer Peace Corps 1111 20th St., NW Washington, DC 20526 (202) 692-1236

- 2. Electronic address for report on the World Wide Web: http://www.peacecorps.gov/policies/foia.cfm
- 3. You may obtain a copy of the report in paper form by writing to: Paper copies can be requested from the Point of Contact listed above.

II. Making a FOIA Request

1. The following offices receive FOIA requests:

Peace Corps FOIA/Privacy Act Office 1111 20th St., NW Washington, DC 20526 Phone: 202-692-1236

Fax: 202-692-1385

Email: foia@peacecorps.gov

Online FOIA request: www.peacecorps.gov/foia

Peace Corps

Office of the Inspector General P.O. Box 57129 Washington, DC 20037

Phone: 202-692-2915

Email: foia@peacecorps.gov

2. Why some requests are not granted:

Requests for records may not be granted if the records do not exist; if records have been transferred to the ownership of the National Archives and Records Administration (NARA); if records contain pre-decisional information that if released would cause harm to Peace Corps' decision-making processes; if records contain personal and/or proprietary information; if records contain information compiled for law enforcement purposes; or if the requester asked for information specifically prohibited from disclosure by other statutes.

3. FOIA Regulations

An electronic copy may be obtained at: http://www.peacecorps.gov/policies/foia.cfm

III. Definitions of Terms and Acronyms

- 1. Basic terms, expressed in common terminology.
 - a. **Administrative Appeal** a request to a federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level.
 - b. **Average Number** the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.
 - c. **Backlog** the number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.
 - d. Component for agencies that process requests on a decentralized basis, a "component" is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA now requires that agencies include in their Annual FOIA Report data for both the agency overall and for each principal component of the agency.

- e. **Consultation** the procedure whereby the agency responding to a FOIA request first forwards a record to another agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes its review of the record, it responds back to the agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.
- f. **Exemption 3 Statute** a federal statute that exempts information from disclosure and which the agency relies on to withhold information under subsection (b)(3) of the FOIA.
- g. **FOIA Request** a FOIA request is generally a request to a federal agency for access to records concerning another person (i.e., a "third-party" request), or concerning an organization, or a particular topic of interest. FOIA requests also include requests made by requesters seeking records concerning themselves (i.e., "first-party" requests) when those requesters are not subject to the Privacy Act, such as non-U.S. citizens. Moreover, because all first-party requesters should be afforded the benefit of both the access provisions of the FOIA as well as those of the Privacy Act, FOIA requests also include any first-party requests where an agency determines that it must search beyond its Privacy Act "systems of records" or where a Privacy Act exemption applies, and the agency looks to FOIA to afford the greatest possible access. All requests which require the agency to utilize the FOIA in responding to the requester are included in this Report.

Additionally, a FOIA request includes records referred to the agency for processing and direct response to the requester. It does not, however, include records for which the agency has received a consultation from another agency. (Consultations are reported separately in Section XII of this Report.)

- h. **Full Grant** an agency decision to disclose all records in full in response to a FOIA request.
- i. **Full Denial** an agency decision not to release any records in response to a FOIA request because the records are exempt in their entireties under one or more of the FOIA exemptions, or because of a procedural reason, such as when no records could be located.
- j. **Median Number** the middle, not average, number. For example, of 3, 7, and 14, the median number is 7.
- k. **Multi-Track Processing** a system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first in/first out basis.

- i. **Expedited Processing** an agency will process a FOIA request on an expedited basis when a requester satisfies the requirements for expedited processing as set forth in the statute and in agency regulations.
- ii. **Simple Request** a FOIA request that an agency using multi-track processing places in its fastest (non-expedited) track based on the low volume and/or simplicity of the records requested.
- iii. **Complex Request** a FOIA request that an agency using multi-track processing places in a slower track based on the high volume and/or complexity of the records requested.
- 1. **Partial Grant/Partial Denial** in response to a FOIA request, an agency decision to disclose portions of the records and to withhold other portions that are exempt under the FOIA, or to otherwise deny a portion of the request for a procedural reason.
- m. **Pending Request or Pending Administrative Appeal** a request or administrative appeal for which an agency has not taken final action in all respects.
- n. **Perfected Request** a request for records which reasonably describes such records and is made in accordance with published rules stating the time, place, fees (if any) and procedures to be followed.
- Processed Request or Processed Administrative Appeal a request or administrative appeal for which an agency has taken final action in all respects.
- p. **Range in Number of Days** the lowest and highest number of days to process requests or administrative appeals.
- q. **Time Limits** the time period in the statute for an agency to respond to a FOIA request (ordinarily twenty working days from receipt of a perfected FOIA request).
- 2. Concise descriptions of the nine FOIA exemptions:
 - a. **Exemption 1**: classified national defense and foreign relations information
 - b. **Exemption 2**: internal agency rules and practices
 - c. **Exemption 3**: information that is prohibited from disclosure by another federal law

- d. Exemption 4: trade secrets and other confidential business information
- e. **Exemption 5**: inter-agency or intra-agency communications that are protected by legal privileges
- f. **Exemption 6**: information involving matters of personal privacy
- g. **Exemption 7**: records or information compiled for law enforcement purposes, to the extent that the production of those records (A) could reasonably be expected to interfere with enforcement proceedings,
- h. **Exemption 8**: information relating to the supervision of financial institutions
- i. **Exemption 9**: geological information on wells
- 3. Agency Component Abbreviations

Component Abbreviation	Component Name
FOIA Office	FOIA/PA Office
OIG	Office of the Inspector General

IV. EXEMPTION 3 STATUTES

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency / Component	Total Number of Times Relied upon by Agency Overall
					0

V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS

	Number of Requests	Number of	Number of	Number of Requests
Agency / Component	Pending as of Start of Fiscal Year	Requests Received in Fiscal Year	Requests Processed in Fiscal Year	Pending as of End of Fiscal Year
	of Fiscal Tear	iii riscai Tear	in Fiscal Tear	of Fiscal Tear
FOIA Office	36	272	176	132
OIG	0	19	18	1
AGENCY OVERALL	36	291	194	133

There were 36 requests pending at the end of FY13, not 41 as previously reported.

V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS

		Number			Number	of Full Den	ials Bas	ed on Reaso	ons Other	than Exe	emptions		
Agency / Component	Number	of Partial Grants / Partial	Number of Full Denials Based on Exemptions	No Records	All Records Referred to Another Component or Agency	Withdrawn	Fee- Related Reason	not	Improper FOIA Request for Other Reason	Not	Duplicate Request	Other *Explain in Chart Below	TOTAL
FOIA Office	69	23	5	32	0	0	0	9	10	10	18	0	176
OIG	3	10	0	5	0	0	0	0	0	0	0	0	18
AGENCY OVERALL	72	33	5	37	0	0	0	9	10	10	18	0	194

V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"

Agency / Component	Description of "Other" Reasons for Denials from Chart B(1)	Number of Times "Other" Reason Was Relied Upon	TOTAL
FOIA Office	N/A	0	0
OIG	N/A	0	0
AGENCY OVERALL			0

V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
FOIA Office	0	0	0	0	3	22	0	0	0	0	0	0	0	0
OIG	0	0	0	0	7	8	0	0	8	1	5	0	0	0
AGENCY OVERALL	0	0	0	0	10	30	0	0	8	1	5	0	0	0

VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS

Agency / Component	Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
FOIA Office	3	3	5	1
OIG	0	1	1	0
AGENCY OVERALL	3	4	6	1

VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS

Agency / Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
FOIA Office	2	1	1	1	5
OIG	0	1	0	0	1
AGENCY OVERALL	2	2	1	1	6

VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
FOIA Office	0	1	0	0	2	0	0	0	0	0	0	0	0	0
OIG	0	0	0	0	0	0	0	0	1	0	0	0	0	0
AGENCY OVERALL	0	1	0	0	2	0	0	0	1	0	0	0	0	0

VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS

Agency / Component	No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee- Related Reason	Records not Reasonably Described		Agency	Duplicate Request or Appeal	in Litigation	Appeal Based Solely on Denial of Request for Expedited Processing	in chart below
FOIA Office	0	0	0	0	1	0	1	0	0	0	1
OIG	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	0	0	0	1	0	1	0	0	0	1

VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS

Agency / Component	Description of "Other" Reasons for Denial on Appeal from Chart C(2)	Number of Times "Other" Reason Was Relied Upon	TOTAL
FOIA Office	No decision made/returned to FOIA Office	1	1
			1
AGENCY			1
OVERALL			1

VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS

Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
FOIA Office	42.00	44.00	25.00	74.00
OIG	22.00	22.00	22.00	22.00
AGENCY OVERALL	22.00	23.00	3.00	48.00

VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS

Agency / Component		10th Oldest Appeal	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Appeal
FOIA Office	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2014-08-28
	Number of Days Pending	0	0	0	0	0	0	0	0	0	22
AGENCY	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OVERALL	Number of Days Pending	0	0	0	0	0	0	0	0	0	0

VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS

		SIM	PLE			COM	PLEX		EXF	PEDITED F	PROCESSI	ING
Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
FOIA Office	56	73	<1	409	51	113	5	552	N/A	N/A	N/A	N/A
OIG	19	17	5	43	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
AGENCY OVERALL	38	82	<1	409	51	113	5	552	N/A	N/A	N/A	N/A

VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED

	SIMPLE				COMPLEX				EXPEDITED PROCESSING				
Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	
FOIA Office	68	92	3	409	51	123	5	552	N/A	N/A	N/A	N/A	
OIG	19	17	5	43	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
AGENCY OVERALL	44	55	3	409	51	123	5	552	N/A	N/A	N/A	N/A	

VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
FOIA Office	34	14	22	15	8	7	4	4	4	4	8	0	1	125
OIG	9	8	1	0	0	0	0	0	0	0	0	0	0	18
AGENCY OVERALL	43	22	23	15	8	7	4	4	4	4	8	0	1	143

VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
FOIA Office	2	1	3	0	1	1	1	1	0	0	0	0	1	11
OIG	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	2	1	3	0	1	1	1	1	0	0	0	0	1	11

VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
FOIA Office	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	0	0	0	0	0	0	0	0	0	0	0	0	0

VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS

		SIMPLE			COMPLEX	-	EXPE	EDITED PROC	ESSING
Agency / Component	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
FOIA Office	110	193	160	22	267	270	0	N/A	N/A
OIG	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
AGENCY OVERALL	110	193	160	22	267	270	0	N/A	N/A

VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS

Agency / Component		10th Oldest Request	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
	Date of Receipt	2013-08-20	2013-08-09	2013-07-12	2013-05-24	2013-05-15	2013-04-11	2013-04-11	2013-04-04	2013-03-18	2013-02-10
FOIA Office	Number of Days Pending	280	287	307	340	347	371	371	376	389	414
	Date of Receipt	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OIG	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
AGENCY	Date of Receipt	2013-08-20	2013-08-09	2013-07-12	2013-05-24	2013-05-15	2013-04-11	2013-04-11	2013-04-04	2013-03-18	2013-02-10
OVERALL	Number of Days Pending	280	287	307	340	347	371	371	376	389	414

VIII.A. REQUESTS FOR EXPEDITED PROCESSING

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
FOIA Office	0	0	N/A	N/A	N/A
OIG	0	0	N/A	N/A	N/A
AGENCY OVERALL	0	0	N/A	N/A	N/A

VIII.B. REQUESTS FOR FEE WAIVER

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
FOIA Office	0	0	Adjudicate N/A	J
OIG	0	0	N/A	N/A
AGENCY OVERALL	0	0	N/A	N/A

IX. FOIA PERSONNEL AND COSTS

Agency /		PERSONNEL			COSTS	
Component	Number of "Full-Time FOIA Employees"	Number of "Equivalent Full- Time FOIA Employees"	Total Number of "Full- Time FOIA Staff"	Processing Costs	Litigation- Related Costs	Total Costs
FOIA Office	0	0.75	0.75	\$86,600.00	\$0.00	\$86,600.00
OIG	0	0.1	0.1	\$10,075.00	\$0.00	\$10,075.00
AGENCY OVERALL	0	0.85	0.85	\$96,675.00	\$0.00	\$96,675.00

XII.A. BACKLOGS OF FOIA REQUESTS AND ADMINISTRATIVE APPEALS

Agency / Component	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
FOIA Office	132	1
OIG	0	0
AGENCY OVERALL	132	1

XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS

Agency / Component	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>Start</u> of the Fiscal Year	Number of Consultations Received from Other Agencies During the Fiscal Year	Number of Consultations Received from Other Agencies that were Processed by the Agency During the Fiscal Year	Number of Consultations Received from Other Agencies that were <u>Pending</u> at the Agency as of <u>End</u> of the Fiscal Year
FOIA Office	1	0	0	1
OIG	0	0	0	0
AGENCY OVERALL	1	0	0	1

XII.C. CONSULTATIONS ON FOIA REQUESTS -- TEN OLDEST CONSULTATIONS RECEIVED FROM OTHER AGENCIES AND PENDING AT THE AGENCY

Agency / Component		10th Oldest Consultation	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Consultation
	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2013-04-04
FOIA Office	Number of Days	0	0	0	0	0	0	0	0	C	376
	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OIG	Number of Days	0	0	0	0	0	0	0	0	C	0
AGENCY	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2013-04-04
OVERALL	Number of Days	0	0	0	0	0	0	0	0	C	376

XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- REQUESTS RECEIVED AND PROCESSED

	NUMBER OF REQU	ESTS <u>RECEIVED</u>	NUMBER OF REQUESTS PROCESSED			
Agency / Component	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report		
FOIA Office	160	272	139	176		
OIG	13	19	15	18		
AGENCY OVERALL	173	291	154	194		

There was an error in FY2013 report. 139 requests were processed in FY2013, not 134.

XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED REQUESTS

Agency / Component	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report		
FOIA Office	25	132		
OIG	0	0		
AGENCY OVERALL	25	132		

There was an administrative error in FY2013 report. Only 25 of the 36 pending requests were over statutory limit.

XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT - APPEALS RECEIVED AND PROCESSED

	NUMBER OF APP	EALS <u>RECEIVED</u>	NUMBER OF APPEALS <u>PROCESSED</u>			
Agency / Component	Number Received During	Number Received During	Number Processed During	Number Processed During		
	Fiscal Year from Last Year's	Fiscal Year from Current	Fiscal Year from Last Year's	Fiscal Year from Current		
	Annual Report	Annual Report	Annual Report	Annual Report		
FOIA Office	8	3	5	5		
OIG	1	1	1	1		
AGENCY OVERALL	9	4	- 6	6		

XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEALS

Agency / Component	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
FOIA Office	0	1
OIG	0	0
AGENCY OVERALL	0	1

There was an error in FY2013 report. No appeals were over the statutory limit at the end of FY2013.