

Annual Freedom of Information Act (FOIA) Report

Fiscal Year 2018

To the Attorney General

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PEACE CORPS ANNUAL FREEDOM OF INFORMATION ACT (FOIA) REPORT FISCAL YEAR 2018

I. BASIC INFORMATION REGARDING THIS REPORT

1. Direct questions about the Annual FOIA Report to:

Virginia Burke, FOIA Officer 1111 20th St., NW Washington, DC 20526 (202) 692-1887

- 2. This report can be downloaded from the Peace Corps' FOIA website at: <u>https://www.peacecorps.gov/about/open-government/foia/</u>
- 3. Requests for this report may be directed to the FOIA Officer, noted above.

II. MAKING A FOIA REQUEST

1. Direct FOIA Requests to:

Virginia Burke FOIA/Privacy Act Office Peace Corps 1111 20th St., NW Washington, DC 20526 Phone: (202) 692-1887 Email: foia@peacecorps.gov

Office of the Inspector General Peace Corps 1111 20th St., NW Washington, DC 20526 Phone: (202) 692-2915 Email: <u>oig@peacecorps.gov</u>

- 2. The Peace Corps fulfills its mission to promote world peace and friendship through its Peace Corps Volunteers (PCVs), the overseas staff managing 61 countries, the supporting Headquarters in Washington D.C., and the Regional Recruiting Offices in the United States. We maintain program agency records that support Peace Corps operations both locally and at our worldwide sites. Records also include Post and mission-related studies, statistics, and reports; PCV and Returned Peace Corps Volunteer (RPCV) surveys; and historical records. All records are thoroughly reviewed to provide the most transparent release of government activity. However, certain information still requires protection with the applicable FOIA exemptions, as listed in Section III. The Peace Corps applied FOIA exemptions cited at tab V.B.3. Certain circumstances may impact the processing of a request. Some records are maintained overseas or at offsite locations, which may take time to retrieve in response to a FOIA request. Additionally, some staff members hold multiple responsibilities in support of our PCVs, or routinely travel worldwide and are away from the immediate record source. We also maintain PCV and RPCV records that are protected under the 5 U.S.C. 552a, the Privacy Act of 1974, and the Health Insurance Portability and Accountability Act of 1996 (HIPAA), Public Law 104-191. Some requests for information cannot be granted if records have met their legal disposition as temporary records. These are then destroyed pursuant to the Peace Corps records schedule approved by the National Archives and Records Administration.
- The Peace Corps FOIA regulations are codified in Title 22 Code of Federal Regulations, Section 303 (22 CFR 303). <u>https://www.govinfo.gov/content/pkg/CFR-2018-title22-vol2/xml/CFR-2018-title22-vol2-part303.xml</u>

III. ACRONYMS, DEFINITIONS, AND EXEMPTIONS

- 1. The following terms and FOIA exemptions used in this Report:
 - a. Administrative Appeal a request to a federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level.
 - b. **Average Number** the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.
 - c. **Backlog** the number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.
 - d. **Component** for agencies that process requests on a decentralized basis, a "component" is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA

requests. The FOIA now requires that agencies include in their Annual FOIA Report data for both the agency overall and for each principal component of the agency.

- e. **Consultation** the procedure whereby the agency responding to a FOIA request first forwards a record to another agency or component within the same agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes its review of the record, it responds back to the agency or component within the same agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.
- f. **Exemption 3 Statute** a federal statute that exempts information from disclosure and which the agency relies on to withhold information under subsection (b)(3) of the FOIA.
- g. FOIA Request a FOIA request is generally a request to a federal agency for access to records concerning another person (i.e., a "third-party" request), or concerning an organization, or a particular topic of interest. FOIA requests also include requests made by requesters seeking records concerning themselves (i.e., "first-party" requests) when those requesters are not subject to the Privacy Act, such as non-U.S. citizens. Moreover, because all first-party requesters should be afforded the benefit of both the access provisions of the FOIA as well as those of the Privacy Act, FOIA requests also include any firstparty requests where an agency determines that it must search beyond its Privacy Act "systems of records" or where a Privacy Act exemption applies, and the agency looks to FOIA to afford the greatest possible access. All requests which require the agency to utilize the FOIA in responding to the requester are included in this Report. Additionally, a FOIA request includes records referred to the agency for processing and direct response to the requester. It does not, however, include records for which the agency has received a consultation from another agency. (Consultations are reported separately in Section XII of this Report.)
- h. **Full Grant** an agency decision to disclose all records in full in response to a FOIA request.
- Full Denial an agency decision not to release any records in response to a FOIA request because the records are exempt in their entireties under one or more of the FOIA exemptions, or because of a procedural reason, such as when no records could be located.
- j. **Median Number** the middle, not average, number. For example, of 3, 7, and 14, the median number is 7.

- k. Multi-Track Processing a system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first in/first out basis.
 - i. **Expedited Processing** an agency will process a FOIA request on an expedited basis when a requester satisfies the requirements for expedited processing as set forth in the statute and in agency regulations.
 - ii. **Simple Request** a FOIA request that an agency using multi-track processing places in its fastest (non-expedited) track based on the low volume and/or simplicity of the records requested.
 - iii. **Complex Request** a FOIA request that an agency using multi-track processing places in a slower track based on the high volume
- Partial Grant/Partial Denial in response to a FOIA request, an agency decision to disclose portions of the records and to withhold other portions that are exempt under the FOIA, or to otherwise deny a portion of the request for a procedural reason.
- m. Pending Request or Pending Administrative Appeal a request or administrative appeal for which an agency has not taken final action in all respects.
- n. Perfected Request a request for records which reasonably describes such records and is made in accordance with published rules stating the time, place, fees (if any) and procedures to be followed.
- Processed Request or Processed Administrative Appeal a request or administrative appeal for which an agency has taken final action in all respects.
- p. **Range in Number of Days** the lowest and highest number of days to process requests or administrative appeals.
- q. Time Limits the time period in the statute for an agency to respond to a FOIA request (ordinarily twenty working days from receipt of a perfected FOIA request).
- 2. The nine FOIA Exemptions are:
 - a. Exemption 1: classified national defense and foreign relations information
 - b. **Exemption 2**: information that is related solely to the internal personnel rules and practices of an agency

- c. **Exemption 3**: information that is prohibited from disclosure by another federal law
- d. **Exemption 4**: trade secrets and other confidential business information
- e. **Exemption 5**: inter-agency or intra-agency communications that are protected by legal privileges
- f. Exemption 6: information involving matters of personal privacy
- g. Exemption 7: records or information compiled for law enforcement purposes, to the extent that the production of those records (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions, or (F) could reasonably be expected to endanger the life or physical safety of any individual
- h. **Exemption 8**: information relating to the supervision of financial institutions
- i. Exemption 9: geological information on wells

3. Agency Component Abbreviations

Component Abbreviation	Component Name
FOIA Office	FOIA/PA Offce
OIG	Office of the Inspector General

IV. EXEMPTION 3 STATUTES

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency / Component	Total Number of Times Relied upon by Agency Overall
N/A	N/A	N/A	FOIA Office	0	0
	N/A	N/A	OIG	0	

V. FOIA REQUESTS

V.A. FOIA Requests – Received, Processed and Pending FOIA Requests

Agency / Component	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
FOIA Office	33	125	111	47
OIG	9	12	12	9
AGENCY OVERALL	42	137	123	56

V.B.(1). Disposition of FOIA Requests – All Processed Requests

		Number	er		Number of Full Denials Based on Reasons Other than Exemptions									
Agency / Component	Number of Full Grants	of Partial Grants /	Number of Full Denials Based on Exemptions	No Records	All Records Referred to Another Component or Agency	Request Withdrawn	Fee- Related Reason	Reasonaniv	Improper FOIA Request for Other Reason	Not Agency Record	Duplicate Request	•	TOTAL	
FOIA Office	47	19	8	14	5	5	1	4	3	1	4	0	111	
OIG	1	6	1	2	0	1	C	0	0	0	1	0	12	
AGENCY OVERALL	48	25	9	16	5	6	1	4	3	1	5	0	123	

V.B.(2). Disposition of FOIA Requests – "Other" Reasons for "Full Denials Based on Reasons Other Than Exemptions"

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Agency / Component	Description of "Other" Reasons for Denials from Chart B(1)	Number of Times "Other" Reason Was Relied Upon	TOTAL
FOIA Office	N/A	0	
	N/A	0	
	N/A	0	0
	N/A	0	
	N/A	0	
OIG	N/A	0	0
			0
AGENCY OVERALL		39	0

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
FOIA Office	0	5	0	2	10	11	5	0	1	0	0	0	0	0
OIG	0	0	0	0	0	6	2	0	6	2	5	0	0	0
AGENCY OVERALL	0	5	0	2	10	17	7	0	7	2	: 5	0	0	0

V.B.(3). Disposition of FOIA Requests – Number of Times Exemptions Applied

VI. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS

VI.A. Administrative Appeals of Initial Determinations of FOIA Requests – Received, Processed, and Pending Administrative Appeals

Agency / Component	Number of Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
FOIA Office	0	7	7	0
OIG	0	0	0	0
AGENCY OVERALL	0	7	7	0

VI.B. Disposition of Administrative Appeals – All Processed Appeals

Agency / Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
FOIA Office	2	. 1	3	1	7
OIG	C	0	0	0	0
AGENCY OVERALL	2	. 1	3	1	7

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
FOIA Office	0	1	0	0	2	1	1	0	0	0	0	0	0	0
OIG	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	1	0	0	2	1	1	0	0	0	0	0	0	0

VI.C.(1). Reasons for Denial on Appeal – Number of Times Exemptions Applied

Agency / Component	No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee- Related Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Litigation	Appeal Based Solely on Denial of Request for Expedited Processing	Other *Explain in chart below
FOIA Office	1	0	0	C	0	0	0	C	0	0	0
OIG	C	0 0	0	C	0	0	0	C	0	0	0
AGENCY OVERALL	1	0	0	C	0	0	0	C	0	0	0

VI.C.(2). Reasons for Denial on Appeal – Reasons Other Than Exemptions

VI.C.(3). Reasons for Denial on Appeal – "Other" Reasons

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Agency / Component	Description of "Other" Reasons for Denial on Appeal from Chart C(2)	Number of Times "Other" Reason Was Relied Upon	TOTAL
FOIA Office	N/A	0	
			0
OIG	N/A	0	0
AGENCY OVERALL			0

VI.C.(4). Response Time for Administrative Appeals

Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
FOIA Office	13.00	19.00	1.00	36.00
OIG	0.00	0.00	0.00	0.00
AGENCY OVERALL	13.00	19.00	1.00	36.00

Agency / Component		10th Oldest Appeal	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Appeal
	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
FOIA Office	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OIG	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
AGENCY	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OVERALL	Number of Days Pending	0	0	0	0	0	0	0	0	0	0

VI.C.(5). Ten Oldest Pending Administrative Appeals

VII. FOIA REQUESTS

		SIM	PLE		COMPLEX				EXPEDITED PROCESSING			
Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
FOIA Office	45	77.97	1	580	231	222.4	96	336	N/A	N/A	N/A	N/A
OIG	70	98.3	1	277	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
AGENCY OVERALL	115	178.85	2	857	242	230.29	96	336	N/A	N/A	N/A	N/A

VII.A. FOIA Requests – Response Time for All Processed Perfected Requests

		SIM	PLE		COMPLEX				EXPEDITED PROCESSING			
Agency / Component	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days	Median Number of Days	Average Number of Days	Lowest Number of Days	Highest Number of Days
FOIA Office	56	79	1	408	207	258	207	336	N/A	N/A	N/A	N/A
OIG	93	95.9	1	277	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
AGENCY OVERALL	149	102.8	2	685	207	258	207	336	N/A	N/A	N/A	N/A

VII.B. Processed Requests – Response Time for Perfected Requests in Which Information was Granted

VII.C.	Processed Sim	ple Requests -	- Response T	lime In Day	y Increments
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Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
FOIA Office	25	20	18	10	4	6	3	0	1	0	7	3	2	99
OIG	2	2	0	3	1	1	0	1	0	0	2	0	0	12
AGENCY OVERALL	27	22	18	13	5	7	3	1	1	0	9	3	2	111

VII.C. Processed Complex Requests – Response Time In Day Increments

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
FOIA Office	0	0	0	0	1	0	0	0	0	0	3	1	0	5
OIG	0	0	0	0	0	0 0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	0	0	0	1	0	0	0	0	0	3	1	0	5

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
FOIA Office	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	0	0	0	0	0	0	0	0	0	0	0	0	0

VII.C. Processed Requests Granted Expedited Processing – Response Time In Day Increments

		SIMPLE			COMPLEX		EXPED	ITED PROCE	ESSING
Agency / Component	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
FOIA Office	19	43	172.1	28	79.5	177.4	0	N/A	N/A
OIG	9	170	243.1	0	N/A	N/A	0	N/A	N/A
AGENCY OVERALL	28	213	415.2	28	79.5	177.4	0	N/A	N/A

VII.D. Pending Requests – All Pending Perfected Requests

Agency / Component		10th Oldest Request	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
	Date of Receipt	2017-04-24	2017-04-10	2017-04-10	2017-02-06	2016-12-07	2016-12-02	2016-12-01	2016-11-22	2016-11-21	2016-09-14
FOIA Office	Number of Days Pending	361	371	371	415	455	458	459	465	466	512
	Date of Receipt	N/A	2018-05-11	2018-04-17	2018-03-08	2018-02-12	2018-01-30	2017-08-28	2017-03-10	2017-02-09	2017-02-01
OIG	Number of Days Pending	0	98	116	144	161	170	274	393	413	419
AGENCY	Date of Receipt	2017-04-24	2017-04-10	2017-04-10	2017-02-06	2016-12-07	2016-12-02	2016-12-01	2016-11-22	2016-11-21	2016-09-14
OVERALL	Number of Days Pending	361	371	371	415	455	458	459	465	466	512

VII.E. Pending Requests – Ten Oldest Pending Perfected Requests

VIII. REQUESTS FOR EXPEDITED PROCESSING AND FOR FEE WAIVERS

Agency / Component	Number Granted		Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
FOIA Office	0	0	N/A	N/A	0
OIG	0	1	7	7	0
AGENCY OVERALL	0	1	7	7	0

VIII.A. Requests for Expedited Processing

VIII.B. Requests for Fee Waiver

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate
FOIA Office	18	1	1	1
OIG	0	0	N/A	N/A
AGENCY OVERALL	18	1	1	1

IX. FOIA PERSONNEL AND COSTS

		PERSONNEL			COSTS	
Agency / Component	Number of "Full- Time FOIA Employees"	Number of "Equivalent Full- Time FOIA Employees"	Total Number of "Full-Time FOIA Staff"	Processing Costs	Litigation-Related Costs	Total Costs
FOIA Office	1.5	1.5	3	\$178,138.00	\$0.00	\$178,138.00
OIG	0	0.1	0.1	\$12,070.00	\$0.00	\$12,070.00
AGENCY OVERALL	1.5	1.6	3.1	\$190,208.00	\$0.00	\$190,208.00

X. FEES COLLECTED FOR PROCESSING REQUESTS

Agency / Component	Total Amount of Fees Collected	Percentage of Total Costs
FOIA Office	\$0.00	0.00%
OIG	\$0.00	0.00%
AGENCY OVERALL	\$0.00	0.00%

XI. NUMBER OF TIMES SUBSECTION (C) USED AND NUMBER OF SUBSECTION (A)(2) POSTINGS

XI.A. Number of Times Subsection (C) Used

Agency / Component	Number of Times Subsection Used
FOIA Office	0
OIG	0
AGENCY OVERALL	0

XI.B. Number of Subsection (A)(2) Postings

Agency / Component	Number of Records Posted by the FOIA Office	Number of Records Posted by Program Offices
FOIA Office	480	1,837
OIG	0	0
AGENCY OVERALL	480	1,837

XII.A. Backlogs of FOIA Requests and Administrative Appeals

Agency / Component	Number of Backlogged Requests as of End of Fiscal Year	•••
FOIA Office	47	0
OIG	9	0
AGENCY OVERALL	56	0

XII.B. Consultations on FOIA Requests – Received, Processed, and Pending Consultations

	Number of		Number of	Number of
	Consultations Received	Number of	Consultations Received	Consultations Received
Agency / Component	from Other Agencies	Consultations Received	from Other Agencies	from Other Agencies
Agency / Component	that were <u>Pending</u> at the	from Other Agencies	that were Processed by	that were <u>Pending</u> at the
	Agency as of Start	During the Fiscal Year	the Agency During the	Agency as of <u>End</u>
	of the Fiscal Year		Fiscal Year	of the Fiscal Year
FOIA Office	0	0	0	0
OIG	0	1	0	1
AGENCY OVERALL	0	1	0	1

XII.C. Consultations on FOIA Requests – Ten Oldest Consultations Received from Other Agencies and Pending at the Agency

Agency / Component		10th Oldest Consultation	ym I	8th	7th	6th	5th	4th	3rd	2nd	Oldest Consultation
	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
FOIA Office	Number of Days	0	0	0	0	0	C	0	0	0	0
	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2018-05-11
OIG	Number of Days	0	0	0	0	0	C	0	0	0	98
AGENCY	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2018-05-11
OVERALL	Number of Days	0	0	0	0	0	C	0	0	C	98

XII.D.(1). Comparison of Numbers of Requests from Previous and Current Annual Report – Requests Received and Processed

	NUMBER OF REQ	UESTS <u>RECEIVED</u>	NUMBER OF REQU	ESTS PROCESSED
Agency / Component	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
FOIA Office	130	125	183	111
OIG	22	12	14	12
AGENCY OVERALL	152	137	197	123

XII.D.(2). Comparison of Numbers of Requests from Previous and Current Annual Report – Backlogged Requests

	Number of Backlogged	Number of Backlogged
Agency / Component		Requests as of End of the
Agency / Component	Fiscal Year from Previous	Fiscal Year from Current
	Annual Report	Annual Report
FOIA Office	33	47
OIG	9	9
AGENCY OVERALL	42	56

XII.E.(1). Comparison of Numbers of Administrative Appeals from Previous and Current Annual Report – Appeals Received and Processed

	NUMBER OF APF	PEALS <u>RECEIVED</u>	NUMBER OF APPE	EALS <u>PROCESSED</u>
Agency / Component	Number Received During Fiscal Year from Last Year's Annual Report	Number Received During Fiscal Year from Current Annual Report	Number Processed During Fiscal Year from Last Year's Annual Report	Number Processed During Fiscal Year from Current Annual Report
FOIA Office	3	7	3	7
OIG	1	0	1	0
AGENCY OVERALL	4	7	4	7

XII.E.(2). Comparison of Numbers of Administrative Appeals from Previous and Current Annual Report – Backlogged Appeals

Agency / Component	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
FOIA Office	0	0
OIG	0	0
AGENCY OVERALL	0	0