

ANNUAL PEACE CORPS FOIA REPORT

October 1, 2012 to September 30, 2013

I. BASIC INFORMATION REGARDING REPORT

1. Name, address, and telephone number of person(s) to be contacted with questions about the report:

Denora Miller, FOIA Officer Peace Corps 1111 20th St., NW Washington, DC 20526 Tele: 202-692-1236.

- 2. Electronic address for report on the World Wide Web: http://www.peacecorps.gov/about/policies/docs/
- 3. You may obtain a copy of the report in paper form by writing to:

Regular Mail

Peace Corps FOIA Officer Office of Management 1111 20th Street, N.W. Washington, D.C. 20526

Facsimile

202.692.1385

- E-mail FOIA@peacecorps.gov
- Online FOIA Request
 www.peacecorps.gov/foia

II. MAKING A FOIA REQUEST

1. The following offices receive FOIA Requests:

Peace Corps FOIA/Privacy Act Office 1111 20th St., NW Washington, DC 20526 Phone: 202-692-1236 Email: foia@peacecorps.gov

Peace Corps Office of the Inspector General P.O. Box 57129 Washington, DC 20037 Phone: 202-692-2212 Email: foia@peacecorps.gov

III. Definitions of Terms and Acronyms

- 1. Basic terms, expressed in common terminology.
 - a. **Administrative Appeal** a request to a federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level.

- b. **Average Number** the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 7, and 14, the average number is 8.
- c. **Backlog** the number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.
- d. **Component** for agencies that process requests on a decentralized basis, a "component" is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA now requires that agencies include in their Annual FOIA Report data for both the agency overall and for each principal component of the agency.
- e. **Consultation** the procedure whereby the agency responding to a FOIA request first forwards a record to another agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes its review of the record, it responds back to the agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.
- f. **Exemption 3 Statute** a federal statute that exempts information from disclosure and which the agency relies on to withhold information under subsection (b)(3) of the FOIA.
- g. **FOIA Request** a FOIA request is generally a request to a federal agency for access to records concerning another person (i.e., a "third-party" request), or concerning an organization, or a particular topic of interest. FOIA requests also include requests made by requesters seeking records concerning themselves (i.e., "first-party" requests) when those requesters are not subject to the Privacy Act, such as non-U.S. citizens. Moreover, because all first-party requesters should be afforded the benefit of both the access provisions of the FOIA as well as those of the Privacy Act, FOIA requests also include any first-party requests where an agency determines that it must search beyond its Privacy Act "systems of records" or where a Privacy Act exemption applies, and the agency looks to FOIA to afford the greatest possible access. All requests which require the agency to utilize the FOIA in responding to the requester are included in this Report.

Additionally, a FOIA request includes records referred to the agency for processing and direct response to the requester. It does not, however, include records for which the agency has received a consultation from another agency. (Consultations are reported separately in Section XII of this Report.)

- h. **Full Grant** an agency decision to disclose all records in full in response to a FOIA request.
- i. **Full Denial** an agency decision not to release any records in response to a FOIA request because the records are exempt in their entireties under one or more of the FOIA exemptions, or because of a procedural reason, such as when no records could be located.
- j. **Median Number** the middle, not average, number. For example, of 3, 7, and 14, the median number is 7.
- Multi-Track Processing a system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first in/first out basis.

i. **Expedited Processing** – an agency will process a FOIA request on an expedited basis when a requester satisfies the requirements for expedited processing as set forth in the statute and in agency regulations.

ii. **Simple Request** – a FOIA request that an agency using multi-track processing places in its fastest (non-expedited) track based on the low volume and/or simplicity of the records requested.

iii. **Complex Request** – a FOIA request that an agency using multi-track processing places in a slower track based on the high volume and/or complexity of the records requested.

- 1. **Partial Grant/Partial Denial** in response to a FOIA request, an agency decision to disclose portions of the records and to withhold other portions that are exempt under the FOIA, or to otherwise deny a portion of the request for a procedural reason.
- m. **Pending Request or Pending Administrative Appeal** a request or administrative appeal for which an agency has not taken final action in all respects.
- n. **Perfected Request** a request for records which reasonably describes such records and is made in accordance with published rules stating the time, place, fees (if any) and procedures to be followed.

- o. **Processed Request or Processed Administrative Appeal** a request or administrative appeal for which an agency has taken final action in all respects.
- p. **Range in Number of Days** the lowest and highest number of days to process requests or administrative appeals.
- q. **Time Limits** the time period in the statute for an agency to respond to a FOIA request (ordinarily twenty working days from receipt of a perfected FOIA request).
- 2. Concise descriptions of the nine FOIA exemptions:
 - a. **Exemption 1**: classified national defense and foreign relations information
 - b. **Exemption 2**: internal agency rules and practices
 - c. **Exemption 3**: information that is prohibited from disclosure by another federal law
 - d. **Exemption 4**: trade secrets and other confidential business information
 - e. **Exemption 5**: inter-agency or intra-agency communications that are protected by legal privileges
 - f. **Exemption 6**: information involving matters of personal privacy
 - g. **Exemption 7**: records or information compiled for law enforcement purposes, to the extent that the production of those records (A) could reasonably be expected to interfere with enforcement proceedings,
 - h. **Exemption 8**: information relating to the supervision of financial institutions
 - i. **Exemption 9**: geological information on wells

3. Agency Component Abbreviations

Component Abbreviation	Component Name
FOIA Office	FOIA/PA Office
OIG	Office of the Inspector General

IV. EXEMPTION 3 STATUTES

Statute	Type of Information Withheld	Case Citation	Agency / Component	Number of Times Relied upon by Agency / Component	Total Number of Times Relied upon by Agency Overall
N/A	N/A	N/A	FOIA Office	0	0
			OIG	0	Ŭ

V.A. FOIA REQUESTS -- RECEIVED, PROCESSED AND PENDING FOIA REQUESTS

Agency / Component	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
FOIA Office	15	160	134	41
OIG	2	13	15	0
AGENCY OVERALL	17	173	149	41

V.B.(1). DISPOSITION OF FOIA REQUESTS -- ALL PROCESSED REQUESTS

		Number			Number	of Full Den	ials Base	ed on Reason	s Other th	an Exen	nptions		
Agency / Component	Grants	Partial Grants /	Exemptions	No	All Records Referred to Another Component or Agency	Request Withdrawn	Related	Records not Reasonably	Request	Not Agency	Request	-	TOTAL
FOIA Office	85	22	2	8	0	2	0	7	7	0	1	0	134
OIG	3	9	1	0	1	1	0	0	0	0	0	0	15
AGENCY OVERALL	88	31	3	8	1	3	0	7	7	0	1	0	149

V.B.(2). DISPOSITION OF FOIA REQUESTS -- "OTHER" REASONS FOR "FULL DENIALS BASED ON REASONS OTHER THAN EXEMPTIONS"

Agency / Component	Description of "Other" Reasons for Denials from Chart B(1)	Number of Times "Other" Reason Was Relied Upon	TOTAL
FOIA Office	N/A	0	. 0
OIG	N/A	0	. 0
AGENCY OVERALL			0

V.B.(3). DISPOSITION OF FOIA REQUESTS -- NUMBER OF TIMES EXEMPTIONS APPLIED

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
FOIA Office	0	0	0	0	4	16	0	0	0	0	0	2	0	0
OIG	0	0	0	0	0	8	0	8	8	0	0	0	0	0
AGENCY OVERALL	0	0	0	0	4	24	0	8	8	0	0	2	0	0

VI.A. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING ADMINISTRATIVE APPEALS

	Number of Appeals	Number of	Number of	Number of Appeals
Agency / Component	Pending as of Start	Appeals Received	Appeals Processed	Pending as of End
	of Fiscal Year	in Fiscal Year	in Fiscal Year	of Fiscal Year
FOIA Office	0	8	5	3
OIG	0	1	1	0
AGENCY OVERALL	0	9	6	3

VI.B. DISPOSITION OF ADMINISTRATIVE APPEALS -- ALL PROCESSED APPEALS

Agency / Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
FOIA Office	1	2	0	2	5
OIG	1	0	0	0	1
AGENCY OVERALL	2	2	0	2	6

Agency / Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
FOIA Office	0	0	0	0	1	1	0	0	0	0	0	1	0	0
OIG	0	0	0	0	0	1	0	0	1	0	0	0 0	0	0
AGENCY OVERALL	0	0	0	0	1	2	0	0	1	0	0	1	0	0

VI.C.(1). REASONS FOR DENIAL ON APPEAL -- NUMBER OF TIMES EXEMPTIONS APPLIED

VI.C.(2). REASONS FOR DENIAL ON APPEAL -- REASONS OTHER THAN EXEMPTIONS

Agency / Component	No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee- Related Reason	Records not Reasonably Described		Not Agency Record	Duplicate Request or Appeal	in Litigation	Appeal Based Solely on Denial of Request for Expedited Processing	in chart below
FOIA Office	0	0	1	0	0	0	1	0	0	0	0
OIG	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	0	1	0	0	0	1	0	0	0	0

Agency / Component	Description of "Other" Reasons for Denial on Appeal from Chart C(2)	Number of Times "Other" Reason Was Relied Upon	TOTAL
FOIA Office	N/A	0	0
OIG	N/A	0	C
AGENCY OVERALL			0

VI.C.(3). REASONS FOR DENIAL ON APPEAL -- "OTHER" REASONS

VI.C.(4). RESPONSE TIME FOR ADMINISTRATIVE APPEALS

Agency / Component	Median Number of	Average Number of	Lowest Number of	Highest Number of
Agency / Component	Days	Days	Days	Days
FOIA Office	23.00	24.00	3.00	48.00
OIG	19.00	19.00	19.00	19.00
AGENCY				
OVERALL	22.00	23.00	3.00	48.00

Agency / Component		10th Oldest Appeal	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Appeal
	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2013-09-09	2013-09-03	2013-09-03
FOIA Office	Number of Days Pending	0	0	0	0	0	0	0	16	20	20
AGENCY	Date of Appeal	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2013-09-09	2013-09-03	2013-09-03
OVERALL	Number of Days Pending	0	0	0	0	0	0	0	16	20	20

VI.C.(5). TEN OLDEST PENDING ADMINISTRATIVE APPEALS

VII.A. FOIA REQUESTS -- RESPONSE TIME FOR ALL PROCESSED PERFECTED REQUESTS

		SIM	PLE		COMPLEX				EXPEDITED PROCESSING				
Agency /	Median	Average	Lowest	Highest	Median	Average	Lowest	Highest	Median	Average	Lowest	Highest	
Component	Number	Number	Number	Number									
	of Days	of Days	of Days	of Days									
FOIA Office	32	44	1	105	152	183	85	518	N/A	N/A	N/A	N/A	
OIG	20	19	3	43	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	
AGENCY													
OVERALL	26	32	1	105	152	183	85	518	N/A	N/A	N/A	N/A	

VII.B. PROCESSED REQUESTS -- RESPONSE TIME FOR PERFECTED REQUESTS IN WHICH INFORMATION WAS GRANTED

		SIMPLE				COMPLEX				EXPEDITED PROCESSING				
Agency /	Median	Average	Lowest	Highest	Median	Average	Lowest	Highest	Median	Average	Lowest	Highest		
Component	Number	Number	Number											
	of Days	of Days	of Days											
FOIA Office	32	54	4	105	150	182	85	518	N/A	N/A	N/A	N/A		
OIG	21	21	5	43	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A		
AGENCY														
OVERALL	27	38	3	105	150	182	85	518	N/A	N/A	N/A	N/A		

VII.C. PROCESSED SIMPLE REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency / Component	<1-20 Days	21-40 Days	41-60 Days	61-80 Days	81-100 Days	101-120 Days	121-140 Days	141-160 Days	161-180 Days	181-200 Days	201-300 Days	301-400 Days	401+ Days	TOTAL
FOIA Office	35	5	20	5	5	3	0	0	0	0	1	0	0	124
OIG	9	5	1	0	0	0	0	0	0	0	0	0	0	15
AGENCY			1											
OVERALL	44	60	21	5	5	3	0	0	0	0	1	0	0	139

VII.C. PROCESSED COMPLEX REQUESTS -- RESPONSE TIME IN DAY INCREMENTS

Agency /	<1-20	21-40										301-400		TOTAL
Component	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	
FOIA Office	0	0	0	0	2	1	4	0	0	1	1	0	1	10
OIG	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	0	0	0	2	1	4	0	0	1	1	0	1	10

VII.C. PROCESSED REQUESTS GRANTED EXPEDITED PROCESSING -- RESPONSE TIME IN DAY INCREMENTS

Agency /	<1-20	21-40	41-60	61-80	81-100	101-120	121-140	141-160	161-180	181-200	201-300	301-400	401+	TOTAL
Component	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	Days	IUIAL
FOIA Office	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	0	0	0	0	0	0	0	0	0	0	0	0	0	0
AGENCY OVERALL	0	0	0	0	0	0	0	0	0	0	0	0	0	0

VII.D. PENDING REQUESTS -- ALL PENDING PERFECTED REQUESTS

		SIMPLE			COMPLEX	-	EXPEDI	TED PROC	ESSING
Agency / Component	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days	Number Pending	Median Number of Days	Average Number of Days
FOIA Office	24	35	55	17	141	294	0	N/A	N/A
OIG	0	N/A	N/A	0	N/A	N/A	0	N/A	N/A
AGENCY OVERALL	24	35	55	17	141	294	0	N/A	N/A

VII.E. PENDING REQUESTS -- TEN OLDEST PENDING PERFECTED REQUESTS

Agency / Component		10th Oldest Request	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Request
	Date of Receipt	2013-04-11	2013-04-04	2013-03-18	2013-02-10	2012-07-19	2011-06-20	2011-05-24	2011-02-14	2011-01-18	2010-03-15
	Number of										
	Days										
FOIA Office	Pending	120	125	138	162	302	574	592	662	681	893
AGENCY	Date of Receipt	2013-04-11	2013-04-04	2013-03-18	2013-02-10	2012-07-19	2011-06-20	2011-05-24	2011-02-14	2011-01-18	2010-03-15
OVERALL	Number of										
	Days										
	Pending	120	125	138	162	302	574	592	662	681	893

VIII.A. REQUESTS FOR EXPEDITED PROCESSING

Agency / Component	Number Granted	Number Denied	Median Number of Days to Adjudicate	Average Number of Days to Adjudicate	Number Adjudicated Within Ten Calendar Days
FOIA Office	0	0	N/A	N/A	N/A
OIG	0	0	N/A	N/A	N/A
AGENCY OVERALL	0	0	N/A	N/A	N/A

VIII.B. REQUESTS FOR FEE WAIVER

A gonou /			Median Number	Average
Agency / Component	Number Granted	Number Denied	of Days to	Number of Days
Component			Adjudicate	to Adjudicate
FOIA Office	0	0	N/A	N/A
OIG	0	0	N/A	N/A
AGENCY				
OVERALL	0	0	N/A	N/A

IX. FOIA PERSONNEL AND COSTS

		PERSONNEL			COSTS	
Agency / Component	Number of "Full-Time FOIA Employees"	Number of "Equivalent Full-Time FOIA Employees"	Total Number of "Full-Time FOIA Staff"	Processing Costs	Litigation- Related Costs	Total Costs
FOIA Office	0	0.75	0.75	\$78,570.00	\$0.00	\$78,570.00
OIG	0	0.1	0.1	\$9,575.00	\$0.00	\$9,575.00
AGENCY OVERALL	0	0.85	0.85	\$88,145.00	\$0.00	\$88,145.00

X. FEES COLLECTED FOR PROCESSING REQUESTS

Agency / Component	Total Amount of Fees	Percentage of Total
Agency / Component	Collected	Costs
FOIA Office	\$0.00	0.00%
OIG	\$0.00	0.00%
AGENCY		
OVERALL	\$0.00	0.00%

XII.A. BACKLOGS OF FOIA REQUESTS AND ADMINISTRATIVE APPEALS

Agency / Component	Number of Backlogged Requests as of End of Fiscal Year	Number of Backlogged Appeals as of End of Fiscal Year
FOIA Office	41	3
OIG	0	0
AGENCY OVERALL	41	3

XII.B. CONSULTATIONS ON FOIA REQUESTS -- RECEIVED, PROCESSED, AND PENDING CONSULTATIONS

	Number of		Number of	Number of
	Consultations	Number of	Consultations	Consultations
	Received from Other	Consultations	Received from Other	Received from Other
Agency / Component	Agencies that were	Received from Other	Agencies that were	Agencies that were
	Pending at the	Agencies During the	Processed by the	Pending at the
	Agency as of Start	Fiscal Year	Agency During the	Agency as of End
	of the Fiscal Year		Fiscal Year	of the Fiscal Year
FOIA Office	0	1	0	1
OIG	0	0	0	0
AGENCY OVERALL	0	1	0	1

XII.C. CONSULTATIONS ON FOIA REQUESTS -- TEN OLDEST CONSULTATIONS RECEIVED FROM OTHER AGENCIES AND PENDING AT THE AGENCY

Agency / Component		10th Oldest Consultation	9th	8th	7th	6th	5th	4th	3rd	2nd	Oldest Consultation
	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2013-04-04
FOIA Office	Number of Days	0	0	0	0	0	0	0	0	0	125
AGENCY	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2013-04-04
OVERALL	Number of Days	0	0	0	0	0	0	0	0	0	125

XII.D.(1). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT --REQUESTS RECEIVED AND PROCESSED

	NUMBER OF REQ	UESTS <u>RECEIVED</u>	NUMBER OF REQUESTS PROCESSED		
	Number Received	Number Received	Number Processed	Number Processed	
Agency / Component	During Fiscal Year	During Fiscal Year	During Fiscal Year	During Fiscal Year	
	from Last Year's	from Current Annual	from Last Year's	from Current Annual	
	Annual Report	Report	Annual Report	Report	
FOIA Office	151	160	158	134	
OIG	15	13	14	15	
AGENCY					
OVERALL	166	173	172	149	

XII.D.(2). COMPARISON OF NUMBERS OF REQUESTS FROM PREVIOUS AND CURRENT ANNUAL REPORT --BACKLOGGED REQUESTS

Agency / Component	Number of Backlogged Requests as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Requests as of End of the Fiscal Year from Current Annual Report
FOIA Office	15	41
OIG	2	0
AGENCY OVERALL	17	41

XII.E.(1). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- APPEALS RECEIVED AND PROCESSED

	NUMBER OF APP	PEALS <u>RECEIVED</u>	NUMBER OF APPEALS PROCESSED		
	Number Received	Number Received	Number Processed	Number Processed	
Agency / Component	During Fiscal Year	During Fiscal Year	During Fiscal Year	During Fiscal Year	
	from Last Year's	from Current Annual	from Last Year's	from Current Annual	
	Annual Report	Report	Annual Report	Report	
FOIA Office	9	8	11	5	
OIG	0	1	0	1	
AGENCY					
OVERALL	9	9	11	6	

XII.E.(2). COMPARISON OF NUMBERS OF ADMINISTRATIVE APPEALS FROM PREVIOUS AND CURRENT ANNUAL REPORT -- BACKLOGGED APPEAL

	Number of Backlogged	Number of Backlogged
Agency / Component	Appeals as of End of the	Appeals as of End of the
Agency / Component	Fiscal Year from	Fiscal Year from
	Previous Annual Report	Current Annual Report
FOIA Office	0	3
AGENCY		
OVERALL	0	3